

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
08/902,692	07/30/97 REA		16715CIP

TODD E ALBANEST  
CRUTSINGER & BOOTH  
1001 ELM STREET SUITE 1950  
THANKS GIVING TOWER  
MILWAUKEE, WI 53201-4711

0232/1205

NOT ASSIGNED

DATE MAILED: 1/5/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 a: 3-6 only of \$ 05.00 for a ☐ large entity ☒ small entity in compliance with 37 CFR 1.27. The surcharge is set forth 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ large entity ☒ small entity (verified statement filed), is \$ 05.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☒ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

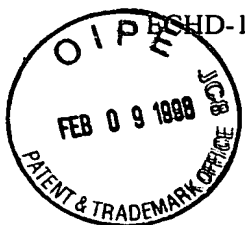
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☒ 9. OTHER: Small Entity Statement not signed

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

Section #1



ECHD-16,715

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

William J. Rea, M.D. Bertie B. Griffiths, Ph.D.

Attorney Docket:

Serial No. 08/902,692

ECHD-16,715-CIP

Filed: July 30, 1997

For: **AUTOGENOUS LYMPHATIC FACTOR FOR  
MODIFICATION OF T AND B LYMPHOCYTE PARAMETERS**

**RESPONSE TO "NOTICE TO FILE MISSING PARTS OF  
APPLICATION - FILING DATE GRANTED"**

Hon. Commissioner of Patents and Trademarks  
Box: Missing Parts  
Washington, D. C. 20231

Sir:

In response to the "NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED" dated December 5, 1997, transmitted herewith for filing is: a Declaration for Utility Patent Application, a Verified Statement (Declaration) Claiming Small Entity Status 37 CFR 1.9(f) - Small Business Concern, and a Power of Attorney all executed by the inventors, William J. Rea, M.D. Bertie B. Griffiths, Ph.D.

A check in the amount of \$65.00 is enclosed to cover the surcharge for filing the Declaration on a date later than the filing date of the application by a small entity in accordance with 37 CFR § 1.53(d) and 37 CFR § 1.16(e).

The Commissioner of Patents and Trademarks is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 or any patent application processing fees required under 37 CFR § 1.17 or credit any overpayment to Deposit Account No. 03-3840. A duplicate of this sheet is enclosed.

Date: February 5, 1998

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed for Commissioner of Patents and Trademarks, Box: Missing Parts, Washington, D. C. 20231

on February 5, 1998  
Date of Deposit

Todd E. Albanesi  
Name of Applicant, Assignee or Registered Representative

Todd E. Albanesi  
Signature

February 5, 1998  
Date of Signature

Respectfully submitted,

Todd E. Albanesi  
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